

Docket No.: 50023-158

MAY 03 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Youichi YAMAMOTO, et al.

Serial No.: 09/989,302

Group Art Unit: 2185

Filed: November 21, 2001

Examiner: Jeffrey A. Gaffin

For: DATA TRANSFER DEVICE, DATA TRANSFER METHOD, DATA TRANSFER PROGRAM AND COMPUTER READABLE STORAGE MEDIUM THEREOF

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MARKED-UP VERSION OF AMENDMENTS

In response to the Notice of Non-Compliant Amendment mailed on April 24, 2002, enclosed for the Examiner's information is the Marked-up Version of the Amendments made to the specification.

IN THE SPECIFICATION

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Fig. 9A is a diagram showing a basic configuration of a batch transfer information table in Embodiment 1, and Fig. 9B is a concrete example of the batch transfer information table.

Fig. 10A is a diagram showing a specific [change of] transfer information set in the batch transfer information table, and Fig. 10B is a diagram showing the changed transfer information set in the batch transere information table.

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Figs. 9A and 9B show [Fig. 9 shows] a configuration of batch transfer information table 703.

Respectfully submitted,

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09/989,302	11/21/2001	Youichi Yamamoto	50023-158	9502

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EXAMINER

GAFFIN, JEFFREY A

ART UNIT PAPER NUMBER

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DATE MAILED: 04/24/2002

Please find below and/or attached an Office communication concerning this application or proceeding.

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UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
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Paper No.

Notice of Non-Compliant Amendment (37 CFR 1.121)

The amendment filed on 2-15-02 is considered non-compliant because it has failed to meet the requirements of 37 CFR 1.121, as amended on September 8, 2000 (see *65 Fed. Reg. 54603*, Sept. 8, 2000, and *1238 O.G. 77*, Sept. 19, 2000). In order for the amendment to be compliant, applicant must supply the following omissions or corrections in response to this notice.

THE FOLLOWING ITEMS ARE REQUIRED FOR COMPLIANCE WITH RULE 1.121 (APPLICANT NEED NOT RE-SUBMIT THE ENTIRE AMENDMENT):

- ☐ 1. A clean version of the replacement paragraph(s)/section(s) is required. See 37 CFR 1.121(b)(1)(ii).
- ☒ 2. A marked-up version of the replacement paragraph(s)/section(s) is required. See 37 CFR 1.121(b)(1)(iii).
- ☐ 3. A clean version of the amended claim(s) is required. See 37 CFR 1.121(c)(1)(i).
- ☐ 4. A marked-up version of the amended claim(s) is required. See 37 CFR 1.121(c)(1)(ii).

Explanation: _____

(LIE: Please provide specific details for correction to assist the applicant. For example, "the clean version of claim 6 is missing.")

For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714 and the USPTO website at <http://www.uspto.gov/web/offices/dcom/olia/pbg/sampleaf.pdf>. **A condensed version of a sample amendment format is attached.**

- ☒ **PRELIMINARY AMENDMENT:** Unless applicant **supplies the omission or correction** to the preliminary amendment in compliance with revised 37 CFR 1.121 noted above within ONE MONTH of the mail date of this letter, examination on the merits may commence without entry of the originally proposed preliminary amendment. This notice is not an action under 35 U.S.C. 132, and this ONE MONTH time limit is not extendable.
- ☐ **AMENDMENT AFTER NON-FINAL ACTION:** Since the above-mentioned reply appears to be *bona fide*, applicant is given a TIME PERIOD of ONE MONTH or THIRTY DAYS from the mailing of this notice, whichever is longer, within which to **supply the omission or correction noted above** in order to **avoid abandonment**. EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136(a).

C. Carter
Legal Instruments Examiner (LIE)